<u>AMENDMENTS TO THE CLAIMS</u>:

This listing of claims will replace all prior versions, and listings, of claims in the application.

<u>Listing Of Claims:</u>

1-35 (Cancelled)

36. (Presently amended) A computer implemented method for use in connection with a transaction with a security, which method comprises the steps of:

storing at least a first and second security documents on a document storage database <u>of a computer</u>, wherein each security document describes a security, and wherein each security document comprises of a plurality of parts, wherein each part of the plurality of parts is associated with an identifier;

receiving a first transaction record with information regarding an ownership of at least one security by an investor <u>from a computer</u>, wherein the first transaction record comprises of a plurality of parts wherein each of the plurality of parts contains information related to ownership of the at least one security by the investor;

mapping <u>with a computer </u>at least one part of the plurality of parts of the first transaction record to at least a first identifier;

determining <u>with a computer</u> from past security documents sent to the investor, if any, whether the investor did not previously receive at least a first part of the at least one security document from the document storage database wherein the first part is associated with the first identifier;

selecting <u>with a computer,</u> if the investor did not receive the first part, the first part of the first security document;

receiving a second transaction record with information regarding an ownership of at least one security by the investor <u>from a computer,</u> wherein the second transaction record comprises of a plurality of parts wherein each of the plurality of parts contains information related to ownership of the at least one security by the investor;

mapping <u>with a computer</u> at least one part of the plurality of parts of the second transaction record to at least a second identifier;

determining <u>with a computer</u> from past security documents sent to the investor, if any, whether the investor did not previously receive at least a second part of the second security document from the document storage database wherein the second part is associated with the second identifier;

selecting <u>with a computer,</u> if the investor did not receive the second part, the second part of the second security document;

combining <u>with a computer</u> the selected first part of the first security document and the selected second part of the second security document into an investor document; and

delivering the investor document to the investor.

37. (Previously presented) The method of claim 36, wherein the security document is a prospectus and wherein the identifier is a security identifier or a trade identifier.

38. (Previously presented) The method of claim 36, wherein the first security document and the second security document are from different issuers.

39. (Previously presented) The method of claim 36, wherein the investor document further comprising of at least one part of the first transaction record and at least one part of the second transaction record.

40. (Presently amended) A computer implemented method for use in connection with a transaction with a security, which method comprises the steps of:

storing at least a first and second security documents on a document storage database <u>of a computer</u>, wherein each security document describes a security, and wherein each security document comprises of a plurality of parts, wherein each part of the plurality of parts is associated with an identifier;

receiving a first transaction record with information regarding an ownership of at least one security by an investor <u>from a computer</u>, wherein the first transaction record comprises of a plurality of parts wherein each of the plurality of parts contains information related to ownership of the at least one security by the investor;

receiving a second transaction record with information regarding an ownership of at least one security by the investor <u>from a computer</u>, wherein the second transaction record comprises of a plurality of parts wherein each of the plurality of parts contains information related to ownership of the at least one security by the investor;

mapping <u>with a computer</u> at least one part of the plurality of parts of the first transaction record to at least a first identifier;

determining <u>with a computer</u> from past security documents sent to the investor, if any, whether the investor did not previously receive at least a first part of the at least one security document from the document storage database wherein the first part is associated with the first identifier;

selecting <u>with a computer</u>, if the investor did not receive the first part, the first part of the first security document;

mapping with a computer at least one part of the plurality of parts of the second transaction record to at least a second identifier;

determining with a computer from past security documents sent to the investor, if any, whether the investor did not previously receive at least a second part of the second security document from the document storage database wherein the second part is associated with the second identifier;

selecting with a computer , if the investor did not receive the second part, the second part of the second security document;

combining with a computer the selected first part of the first security document and the selected second part of the second security document into an investor document; and

delivering the investor document to the investor.

41. (Previously presented) The method of claim 40, wherein the security document is a prospectus and wherein the identifier is a security identifier or a trade identifier.

42. (Previously presented) The method of claim 40, wherein the first security document and the second security document are from different issuers.

43. (Previously presented) The method of claim 40, wherein the investor document further comprising of at least one part of the first transaction record and at least one part of the second transaction record.

44. (Presently amended) A system, comprising:

a document storage database of a computer for storing at least one security document, wherein each security document describes a security, and wherein each security document comprises of a plurality of parts, wherein each part of the plurality of parts is associated with an identifier;

a transaction database <u>of a computer</u> for storing at least one transaction record, wherein a transaction record comprises of a plurality of parts wherein each of the plurality of parts contains information related to ownership of the at least one security by an investor;

a <u>computer</u> processor operatively connected with the document storage database and the transaction database, wherein the <u>computer</u> processor

stores at least a first and second security documents on the document storage database;

receives a first transaction record from the transaction database with information regarding an ownership of at least one security by the investor;

maps at least one part of the plurality of parts of the first transaction record to at least a first identifier;

determines from past security documents sent to the investor, if any, whether the investor did not previously receive at least a first part of the first security document from the document storage database wherein the first part is associated with the first identifier;

selects, if the investor did not receive the first part, the first part of the first security document;

receives a second transaction record from the transaction database with information regarding an ownership of at least one security by the investor;

maps at least one part of the plurality of parts of the second transaction record to at least a second identifier;

determines from past security documents sent to the investor, if any, whether the investor did not previously receive at least a second part of the second security document

from the document storage database wherein the second part is associated with the second identifier;

selects, if the investor did not receive the second part, the second part of the second security document;

combines the selected first part of the first security document and the selected second part of the second security document into an investor document; and

delivers the investor document to the investor.

45. (Previously presented) The system of claim 44, wherein the security document is a prospectus and wherein the identifier is a security identifier or a trade identifier.

46. (Previously presented) The system of claim 44, wherein the first security document and the second security document are from different issuers.

47. (Previously presented) The system of claim 44, wherein the investor document further comprising of at least one part of the first transaction record and at least one part of the second transaction record.

48. (Presently amended) A system, comprising:

a document storage database of a computer for storing at least one security document, wherein each security document describes a security, and wherein each security document comprises of a plurality of parts, wherein each part of the plurality of parts is associated with an identifier;

a transaction database of a computer for storing at least one transaction record, wherein a transaction record comprises of a plurality of parts wherein each of the plurality of parts contains information related to ownership of the at least one security by an investor;

a <u>computer</u> processor operatively connected with the document storage database and the transaction database, wherein the processor

stores at least a first and second security documents on the document storage database;

receives a first transaction record from the transaction database with information regarding an ownership of at least one security by the investor;

receives a second transaction record from the transaction database with information regarding an ownership of at least one security by the investor;

maps at least one part of the plurality of parts of the first transaction record to at least a first identifier;

determines from past security documents sent to the investor, if any, whether the investor did not previously receive at least a first part of the first security document from the document storage database wherein the first part is associated with the first identifier;

selects, if the investor did not receive the first part, the first part of the first security document;

maps at least one part of the plurality of parts of the second transaction record to at least a second identifier;

determines from past security documents sent to the investor, if any, whether the investor did not previously receive at least a second part of the second security document from the document storage database wherein the second part is associated with the second identifier;

selects, if the investor did not receive the second part, the second part of the second security document;

combines the selected first part of the first security document and the selected

second part of the second security document into an investor document; and

delivers the investor document to the investor.

49. (Previously presented) The system of claim 48, wherein the security document is

a prospectus and wherein the identifier is a security identifier or a trade identifier.

50. (Previously presented) The system of claim 48, wherein the first security

document and the second security document are from different issuers.

51. (Previously presented) The system of claim 48, wherein the investor document

further comprising of at least one part of the first transaction record and at least one part of

the second transaction record.